

1 UNITED STATES COURT OF APPEALS

2 FOR THE SECOND CIRCUIT

3 August Term, 2005

4 (Argued: December 1, 2005 Decided: July 24, 2006)  
5 Errata Filed: September 1, 2006)  
6 Docket No. 05-0641-pr

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8 DON JUAN BRITT,

9 Plaintiff-Appellee,

10 - v -

11 Maria E. Garcia, Department of Correction, Classification and  
12 Movement Analysis, Terry David, D.O.C.'s Classification and  
13 Movement, Superintendent John McGinnis, Superintendent Hans  
14 Walker, Auburn Correctional Facility, Correction Counselor Robert  
15 Mitchell, Auburn Correctional Facility S.H.U., Superintendent  
16 Charles Griener, Sing Sing Correctional Facility,

17 Defendants,

18 Commissioner GLENN S. GOORD of the Department of Corrections,  
19 Deputy Superintendent for Security, WILLIAM CONNOLLY, in their  
20 individual capacities,

21 Defendants-Appellants.

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23 Before: CARDAMONE, LEVAL, and SACK, Circuit Judges.

24 Interlocutory appeal from an order of the United States  
25 District Court for the Southern District of New York (Lawrence M.  
26 McKenna, Judge) denying the defendants-appellants' renewed motion  
27 for judgment as a matter of law on qualified immunity grounds  
28 after a jury verdict was rendered against them but before retrial  
29 as to the amount of punitive damages.

30 Affirmed in part; dismissed in part for lack of  
31 appellate jurisdiction.

1 JEAN LIN, Assistant Solicitor General,  
2 Office of the Attorney General of the  
3 State of New York (Eliot Spitzer,  
4 Attorney General of the State of New  
5 York, Michael S. Belohlavek, Deputy  
6 Solicitor General, of counsel), New  
7 York, NY, for Defendants-Appellants.

8 PAUL E. KERSON, Leavitt, Kerson & Duane,  
9 (John F. Duane, Ira R. Greenberg, of  
10 counsel), New York, NY, for Plaintiff-  
11 Appellee.

12 SACK, Circuit Judge:

13 Plaintiff-appellee Don Juan Britt, a state prisoner  
14 incarcerated at the Sing Sing Correctional Facility in Ossining,  
15 New York, brought suit in the United States District Court for  
16 the Southern District of New York against various New York State  
17 Department of Correctional Services and correctional facility  
18 officials. He alleged that the defendants violated his rights  
19 under the Eighth Amendment to the United States Constitution by  
20 failing to protect him from assaults by other inmates. Britt  
21 further alleged that the defendants conspired to violate his  
22 civil rights. He also asserted several state-law claims. The  
23 case proceeded to trial, and a jury found defendants-appellants  
24 Glenn S. Goord and William Connolly liable to Britt for  
25 conspiracy to violate his civil rights under 42 U.S.C. § 1985(3)  
26 and for negligence under New York law. The jury assessed both  
27 compensatory and punitive damages against Goord and Connolly.

28 Subsequently, the district court (Lawrence M. McKenna,  
29 Judge) granted Goord and Connolly's post-verdict motion to  
30 dismiss Britt's negligence claim. The court also ordered a new

1 trial on the issue of punitive damages. The court denied Goord  
2 and Connolly's renewed motion for judgment as a matter of law,  
3 however, rejecting their claim of qualified immunity and their  
4 contention that the evidence presented at trial was insufficient  
5 for the jury to find them liable to Britt under section 1985(3).

6 Goord and Connolly now bring an interlocutory appeal  
7 from the district court's denial of their renewed motion for  
8 judgment as a matter of law on qualified immunity grounds. Goord  
9 and Connolly also urge us to exercise pendent appellate  
10 jurisdiction to review the district court's decision that the  
11 evidence presented at trial was sufficient to support liability  
12 under section 1985(3). We conclude that we have jurisdiction to  
13 decide this appeal insofar as the appellants argue that they are  
14 entitled to qualified immunity on the basis of the jury's answers  
15 to questions posed on a special verdict sheet, but we also  
16 conclude that the appellants' argument is without merit. We  
17 decline to exercise pendent appellate jurisdiction to decide the  
18 remainder of the appeal. We therefore affirm the district  
19 court's order in part and dismiss the remainder of the appeal for  
20 lack of appellate jurisdiction.

#### 21 **BACKGROUND**

22 On October 21, 1998, while serving a state sentence for  
23 a felony conviction at Sing Sing Correctional Facility in  
24 Ossining, New York, plaintiff-appellee Don Juan Britt was  
25 assaulted by another inmate, who slashed Britt's head, neck, and  
26 back. Trial Tr., Apr. 27, 2004, at 78-80. He was rushed to St.

1 Agnes Hospital, where he received multiple stitches to close his  
2 wounds. Id. at 80-82. Upon his return to Sing Sing, he was  
3 placed in protective custody but was allegedly attacked at least  
4 once more by another inmate. Id. at 82-84. On December 31,  
5 1998, Britt's prison cell was allegedly set on fire by a person  
6 or persons unknown. Trial Tr., Apr. 28, 2004, at 284-86.

7 On March 5, 1999, Britt, acting pro se, brought suit in  
8 the United States District Court for the Southern District of New  
9 York seeking compensation for his injuries. An amended complaint  
10 followed on April 12, 1999.

11 On April 25, 2003, after Britt had obtained counsel, he  
12 filed a second amended complaint. In it, he alleged that the  
13 defendants had violated his Eighth Amendment rights by failing to  
14 protect him from assaults by other inmates. Second Am. Compl. ¶¶  
15 29-30. He also alleged that the defendants had conspired to  
16 violate his civil rights, id. ¶¶ 31-33, and asserted several  
17 state-law claims, id. ¶¶ 34-44. Subsequently, the district court  
18 dismissed Britt's claims against all defendants other than Glenn  
19 S. Goord, commissioner of corrections, William Connolly, deputy  
20 superintendent of Sing Sing, and Jacqueline Hood, a corrections  
21 officer. See Britt v. Dep't of Corr., No. 99 Civ. 1672, 2004 WL  
22 868371, at \*1, 2004 U.S. Dist. LEXIS 6940, at \*1 (S.D.N.Y. Apr.  
23 21, 2004).

24 On April 26, 2004, the case proceeded to a jury trial  
25 against the three remaining defendants in their individual  
26 capacities on five of Britt's claims that the defendants were

1 liable to him: (1) under 42 U.S.C. § 1983 for violating the  
2 Eighth Amendment; (2) under 42 U.S.C. § 1985(3) for conspiring to  
3 violate the Eighth Amendment;<sup>1</sup> (3) under New York law for  
4 intentional infliction of emotional distress; (4) under New York  
5 law for negligence; and (5) under New York law on a theory of  
6 respondeat superior.

7           At the close of evidence, the district court dismissed  
8 Britt's respondeat superior claim. The defendants moved,  
9 pursuant to Federal Rule of Civil Procedure 50(a), for judgment  
10 as a matter of law on all of the plaintiff's remaining claims,  
11 arguing that the evidence presented at trial was insufficient to  
12 support them. See Trial Tr., Apr. 30, 2004, at 673-78. The  
13 district court reserved decision. See id. at 681.

14           On May 3, 2004, the jury returned a verdict in favor of  
15 Hood on all claims, but found Goord and Connolly liable to Britt  
16 under section 1985(3) and for negligence under New York law. The  
17 jury assessed compensatory damages against Goord and Connolly in  
18 the amounts of \$100,000 and \$50,000, respectively, and punitive

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<sup>1</sup> Britt's second amended complaint stated that his action was brought under the Eighth Amendment, 42 U.S.C. § 1983, and New York common law. Second Am. Compl. ¶ 12. The complaint did not mention 42 U.S.C. § 1985(3). The defendants appear to acknowledge that Britt's second cause of action -- which, as noted above, alleges a conspiracy to violate civil rights -- could be construed as arising under section 1983 rather than section 1985(3). See Appellants' Br. at 21-22. Britt nevertheless apparently accepted the defendants' characterization of this claim as alleging a violation of section 1985(3) in their proposed jury instructions, and the jury was so instructed. Trial Tr., Apr. 30, 2004, at 767-68; see also Britt v. Connolly, No. 99 Civ. 1672, slip op. at 3 n.3 (S.D.N.Y. Jan. 4, 2005) (filed under seal).

1 damages in the amounts of \$5 million and \$2.5 million,  
2 respectively. The jury decided in favor of Goord and Connolly on  
3 all other claims.

4 Before judgment was entered, Goord and Connolly renewed  
5 their motion for judgment as a matter of law pursuant to Federal  
6 Rule of Civil Procedure 50(b). They argued, inter alia, that  
7 they were entitled to qualified immunity.<sup>2</sup> Defendants' Mem. Of  
8 Law In Support Of Post-Trial Motions, dated June 2, 2004, at 22-  
9 26. The defendants also argued that the evidence presented at  
10 trial was insufficient to support a finding of liability under  
11 section 1985(3), and that the negligence verdict against them was  
12 barred by New York Correction Law § 24 and Baker v. Coughlin, 77  
13 F.3d 12 (2d Cir. 1996). Defendants' Mem. Of Law In Support Of  
14 Post-Trial Motions, dated June 2, 2004, at 8-16. The defendants  
15 moved in the alternative for a new trial pursuant to Federal Rule

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<sup>2</sup> Although, as noted above, the defendants moved for judgment as a matter of law at the close of the presentation of evidence at trial, the defendants appear to have failed to do so on the ground that they were entitled to qualified immunity at that point. See Trial Tr., Apr. 30, 2004, at 673-78. We have stated that "a posttrial motion for [judgment as a matter of law] can properly be made only if, and to the extent that, such a motion specifying the same grounds was made prior to the submission of the case to the jury." McCardle v. Haddad, 131 F.3d 43, 51 (2d Cir. 1997); see also Provost v. City of Newburgh, 262 F.3d 146, 161 (2d Cir. 2001) (applying this rule to a claim of qualified immunity). We do not decide whether the defendants' apparent failure to raise qualified immunity in their motion for judgment as a matter of law precluded them from doing so upon renewal of that motion, however, because it appears that Britt did not raise this issue before the district court, and he does not raise it before us.

1 of Civil Procedure 59. Id. at 28-31. They also moved for a  
2 reduction of the jury's punitive damages award. Id. at 31-36.

3 In a memorandum and order dated January 4, 2005, the  
4 district court dismissed Britt's negligence claim. Britt v.  
5 Connolly, No. 99 Civ. 1672, slip op. at 8 (S.D.N.Y. Jan. 4, 2005)  
6 (filed under seal). The court denied the remainder of the  
7 defendants' motions except that for remittitur as to the jury's  
8 punitive damages award. Id. at 4-21. The court concluded that  
9 punitive damages "should not exceed \$200,000 in the case of  
10 defendant Goord and \$100,000 in the case of defendant Connolly"  
11 and ordered a new trial on the issue of punitive damages unless  
12 Britt agreed to remit all punitive damages in excess of those  
13 amounts. Id. at 21, 25.<sup>3</sup> When Britt declined to do so, the  
14 district court ordered a new trial on that issue.

15 Goord and Connolly appeal from that portion of the  
16 district court's order denying their renewed motion for judgment  
17 as a matter of law on qualified immunity grounds. Goord and  
18 Connolly also contend that we should exercise pendent appellate  
19 jurisdiction to review the district court's decision that the  
20 evidence presented at trial was sufficient for the jury to find  
21 them liable under section 1985(3).

## 22 DISCUSSION

### 23 I. Denial of Qualified Immunity

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<sup>3</sup> Britt also made several post-trial motions, all of which were denied by the district court. See Plaintiff's Post-Trial Mem. of Law, dated May 27, 2004; Britt, slip op. at 21-25. That portion of the district court's order is not before us.

1     A. Standard of Review

2             To the extent that we have jurisdiction over an appeal  
3 from a district court's denial of qualified immunity, we review  
4 the district court's decision de novo. Palmer v. Richards, 364  
5 F.3d 60, 63 (2d Cir. 2004). We apply the same standard in  
6 reviewing the district court's denial that the district court was  
7 required to apply. Provost v. City of Newburgh, 262 F.3d 146,  
8 154 (2d Cir. 2001). "Judgment as a matter of law is appropriate  
9 when 'a party has been fully heard on an issue and there is no  
10 legally sufficient evidentiary basis for a reasonable jury to  
11 find for that party on that issue.'" Jarvis v. Ford Motor Co.,  
12 283 F.3d 33, 43 (2d Cir.) (quoting Fed. R. Civ. P. 50(a)), cert.  
13 denied, 537 U.S. 1019 (2002).

14     B. Qualified Immunity Doctrine

15             "The doctrine of qualified immunity offers protection  
16 for 'government officials performing discretionary functions from  
17 liability for civil damages insofar as their conduct does not  
18 violate clearly established statutory or constitutional rights of  
19 which a reasonable person would have known.'" McClellan v.  
20 Smith, 439 F.3d 137, 147 (2d Cir. 2006) (quoting Harlow v.  
21 Fitzgerald, 457 U.S. 800, 818 (1982) (alteration incorporated)).

22     C. The Appellants' Argument

23             The appellants argue that they are entitled to  
24 qualified immunity on the basis of the jury's answers to the  
25 first three questions posed by the special verdict sheet. In  
26 response to the question, "With respect to plaintiff's claim that

1 each of the defendants violated his constitutional rights in  
2 connection with the October 21, 1998 assault, how do you find as  
3 to each defendant, for plaintiff or for the defendant?", the jury  
4 found for all the defendants. Verdict Sheet, dated May 3, 2004,  
5 at 1. In response to the same question "in connection with the  
6 December 31, 1998 fire," the jury also found for all the  
7 defendants. Id. at 1-2. In response to the question, "With  
8 respect to plaintiff's claim that each of the defendants  
9 conspired to violate his constitutional rights, how do you find,  
10 for plaintiff or the defendant?" however, the jury found for the  
11 plaintiff against Goord and Connolly. Id. at 3.

12 Goord and Connolly contend that "because Britt's  
13 conspiracy claim [under section 1985(3)] is premised upon the  
14 same alleged constitutional injuries as his [section] 1983  
15 claim," by answering in the negative when asked whether the  
16 defendants violated Britt's constitutional rights in connection  
17 with the October 1998 assault (question one) or the December 1998  
18 fire (question two), "the jury found no . . . injuries" in this  
19 case. Appellants' Br. at 17. They argue that the section  
20 1985(3) verdict therefore cannot stand as a matter of law because  
21 a finding of liability under section 1985(3) requires not only  
22 that a conspiracy exist but also that the conspiracy result in a  
23 constitutional deprivation or an injury, see 42 U.S.C.  
24 § 1985(3),<sup>4</sup> and the jury here, they assert, found no such

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<sup>4</sup> 42 U.S.C. § 1985(3) provides:

1 deprivation or injury. Goord and Connolly contend that they are  
2 therefore "entitled to qualified immunity because the threshold  
3 question of the qualified immunity analysis" -- whether the  
4 conduct of which the plaintiff complains violates federal law,  
5 see Sadallah v. City of Utica, 383 F.3d 34, 37-38 (2d Cir. 2004)  
6 -- "was conclusively determined in their favor." Appellants' Br.  
7 at 15.

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If two or more persons in any State or Territory conspire . . . for the purpose of depriving, either directly or indirectly, any person or class of persons of the equal protection of the laws, or of equal privileges and immunities under the laws . . . , the party so injured or deprived may have an action for the recovery of damages occasioned by such injury or deprivation, against any one or more of the conspirators.

We have explained that:

To state a civil rights conspiracy under § 1985(3), a plaintiff must allege: 1) a conspiracy; 2) for the purpose of depriving, either directly or indirectly, any person or class of persons of the equal protection of the laws, or of equal privileges and immunities under the laws; and 3) an act in furtherance of the conspiracy; 4) whereby a person is either injured in his person or property or deprived of any right or privilege of a citizen of the United States.

Gray v. Town of Darien, 927 F.2d 69, 73 (2d Cir.) (citing United Brotherhood of Carpenters & Joiners of Am., Local 610 v. Scott, 463 U.S. 825, 828-29 (1983); Griffin v. Breckenridge, 403 U.S. 88, 102-03 (1971)), cert. denied, 502 U.S. 856 (1991). A section 1985(3) "conspiracy must also be motivated by some racial or perhaps otherwise class-based, invidious discriminatory animus behind the conspirators' action." Thomas v. Roach, 165 F.3d 137, 146 (2d Cir. 1999) (internal quotation marks and citation omitted).

1           Before reaching the merits of the appellants' argument,  
2 although Britt has not "raised the issue, we are obliged . . . to  
3 assess whether we have jurisdiction to hear this appeal."

4 Goldberg v. Cablevision Sys. Corp., 261 F.3d 318, 323 (2d Cir.  
5 2001).

6 D. Appellate Jurisdiction

7           1. In General. Because the district court has not yet  
8 entered a final judgment, this appeal is interlocutory.

9           Ordinarily, only final judgments may be  
10 appealed under 28 U.S.C. § 1291. But under  
11 the collateral order doctrine, interlocutory  
12 appeals may be taken from determinations of  
13 "claims of right separable from, and  
14 collateral to, rights asserted in the action,  
15 too important to be denied review and too  
16 independent of the cause itself to require  
17 that appellate consideration be deferred  
18 until the whole case is adjudicated."

19 Rohman v. N.Y. City Transit Auth., 215 F.3d 208, 214 (2d Cir.  
20 2000) (quoting Cohen v. Beneficial Indus. Loan Corp., 337 U.S.  
21 541, 546 (1949)). "[A] district court's denial of a claim of  
22 qualified immunity, to the extent that it turns on an issue of  
23 law, is an appealable 'final decision' within the meaning of 28  
24 U.S.C. § 1291 notwithstanding the absence of a final judgment."  
25 Mitchell v. Forsyth, 472 U.S. 511, 530 (1985); see also Sira v.  
26 Morton, 380 F.3d 57, 66 (2d Cir. 2004) (citing, inter alia,  
27 Mitchell).

28           "Not every collateral order denying a  
29 qualified-immunity claim is immediately appealable, however."  
30 Rohman, 215 F.3d at 214. In Johnson v. Jones, 515 U.S. 304

1 (1995), the Supreme Court decided that a federal court of appeals  
2 lacks jurisdiction to decide an interlocutory appeal from a  
3 district court's denial of a claim of qualified immunity to the  
4 extent that the denial involves "only a question of 'evidence  
5 sufficiency.'" Id. at 313. The Court noted that its  
6 determination in Mitchell that a denial of qualified immunity  
7 could be considered an appealable final judgment "rested upon the  
8 view that 'a claim of immunity is conceptually distinct from the  
9 merits of the plaintiff's claim.'" Id. at 314 (quoting Mitchell,  
10 472 U.S. at 527). For this reason, the Johnson Court explained,  
11 the Mitchell decision "referred specifically to a district  
12 court's 'denial of a claim of qualified immunity, to the extent  
13 that it turns on an issue of law.'" Id. at 313 (quoting  
14 Mitchell, 472 U.S. at 530) (emphasis added in Johnson); see also  
15 Behrens v. Pelletier, 516 U.S. 299, 313 (1996) (noting that  
16 "determinations of evidentiary sufficiency . . . are not  
17 immediately appealable merely because they happen to arise in a  
18 qualified-immunity case"); Salim v. Proulx, 93 F.3d 86, 91 (2d  
19 Cir. 1996) ("What we may not do . . . is entertain an  
20 interlocutory appeal in which a defendant contends that the  
21 district court committed an error of law in ruling that the  
22 plaintiff's evidence was sufficient to create a jury  
23 issue . . ."). In short, "[t]o be appealable immediately, the  
24 qualified-immunity denial must present 'a legal issue that can be  
25 decided with reference only to undisputed facts and in isolation  
26 from the remaining issues of the case,'" Munafo v. Metro. Transp.

1 Auth., 285 F.3d 201, 210-11 (2d Cir. 2002) (quoting Johnson, 515  
2 U.S. at 313), and must not pose the sufficiency question over  
3 which Johnson made clear we have no interlocutory appellate  
4 jurisdiction.

5 2. Appellate Jurisdiction in This Case. In deciding  
6 whether we have appellate jurisdiction, we note at the outset  
7 this appeal's rather unusual procedural posture.

8 Typically, an interlocutory appeal from a district  
9 court's denial of a claim of qualified immunity is brought after  
10 the district court denies the claim at the pleading stage, see,  
11 e.g., Pena v. DePrisco, 432 F.3d 98, 107 (2d Cir. 2005), or upon  
12 denial of the defendant's motion for summary judgment, based on  
13 the plaintiff's, or an agreed upon, version of the facts, see,  
14 e.g., Bizzaro v. Miranda, 394 F.3d 82, 85-86 (2d Cir. 2005).  
15 Here, instead, the appellants appeal from a post-verdict denial  
16 of their claim of qualified immunity. As the First Circuit  
17 observed in a similar context, "[t]his atypical history means  
18 that we are in the somewhat unusual position of considering the  
19 qualified immunity question . . . when the case has already been  
20 tried." Wilson v. City of Boston, 421 F.3d 45, 53 (1st Cir.  
21 2005). "To be sure, this unusual posture does not affect the  
22 viability of the qualified immunity defense." Id. But the  
23 appellants do not make the arguments that are ordinarily the  
24 basis for a claim of qualified immunity, namely that the conduct  
25 attributed to them is not prohibited by federal law, that the  
26 plaintiff's right not to be subjected to such conduct was not

1 clearly established at the time of the conduct, or that the  
2 appellants' actions were objectively legally reasonable in light  
3 of the legal rules that were clearly established at the time they  
4 were taken. See Sadallah, 383 F.3d at 37.<sup>5</sup> Instead, they argue  
5 that the jury's answers to the first two questions posed on the  
6 special verdict sheet render a finding of liability under section  
7 1985(3) impermissible. This case is in that regard apparently  
8 unique.<sup>6</sup>

9 Nonetheless, insofar as the appellants' argument raises  
10 "'a legal issue that can be decided with reference only to  
11 undisputed facts and in isolation from the remaining issues of  
12 the case,'" Munafo, 285 F.3d at 210 (quoting Johnson, 515 U.S. at  
13 313), and does not raise the sufficiency question over which  
14 Johnson ruled we have no interlocutory jurisdiction, we conclude  
15 that an interlocutory appeal here is appropriate and that we have  
16 appellate jurisdiction. The retrial of the case against the  
17 appellants as to punitive damages is pending. In Johnson, the

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<sup>5</sup> The appellants argued before trial that they were entitled to qualified immunity on the ground that Britt had not properly alleged a violation of the Constitution. See Mem. of Law In Support of Defendants' Motions to Dismiss the Second Amended Complaint, dated Feb. 17, 2004, at 15-16; see also Answer to Amend. Compl. ¶¶ 23, 25. The district court rejected that argument. See Britt v. Dep't of Corr., No. 99 Civ. 1672, 2004 WL 547955, at \*4, 2004 U.S. Dist. LEXIS 4441, at \*11-\*12 (S.D.N.Y. Mar. 19, 2004). The appellants did not appeal from that decision.

<sup>6</sup> We have not been pointed to, nor are we otherwise aware of, any case in which appellants have made such an argument in an interlocutory appeal from a post-trial denial of qualified immunity.

1 Supreme Court observed that one of the principal reasons for  
2 permitting an interlocutory appeal of a denial of qualified  
3 immunity in the summary judgment setting is to vindicate the  
4 defendant's right, if he or she is entitled to such immunity, not  
5 to be subjected to a trial. "[T]he Mitchell Court held that  
6 [without immediate review, a] summary judgment order [denying  
7 qualified immunity to a defendant] was, in a sense, 'effectively  
8 unreviewable,' for review after trial would come too late to  
9 vindicate one important purpose of 'qualified immunity' --  
10 namely, protecting public officials, not simply from liability,  
11 but also from standing trial." Johnson, 515 U.S. at 311-12  
12 (quoting Mitchell, 472 U.S. at 525-27). In the case before us,  
13 although it is too late to protect the appellants from standing  
14 trial, it is not too late to vindicate their right, if they are  
15 entitled to immunity, not to undergo a second one on the issue of  
16 damages.

#### 17 E. Merits

18 We conclude, however, that the appellants' qualified  
19 immunity argument fails on the merits because the appellants read  
20 the jury's verdict sheet without referring to the jury charge.  
21 "It is a fundamental proposition that a jury is presumed to  
22 follow the instructions of the trial judge." United States v.  
23 Pforzheimer, 826 F.2d 200, 205 (2d Cir. 1987); see also LNC  
24 Invs., Inc. v. Nat'l Westminster Bank, N.J., 308 F.3d 169, 177

1 n.10 (2d Cir. 2002) ("The district court's instructions were  
2 quite clear . . . and we must presume the jury to follow its  
3 instructions."), cert. denied, 538 U.S. 1033 (2003).

4 In its instructions to the jury regarding Britt's  
5 section 1985(3) claim, the district court explained that it must  
6 be "prove[n] that as a result of the conspiracy, the plaintiff  
7 was either injured in his person or property or deprived of [a]  
8 right of a citizen of the United States." See Trial Tr., Apr.  
9 30, 2004, at 768.<sup>7</sup> The district court also stated that the third  
10 question on the verdict sheet pertained to this claim. See id.  
11 at 776.

12 We therefore presume that in finding the appellants  
13 liable to Britt under section 1985(3), the jury found that the  
14 evidence presented at trial demonstrated not only the existence  
15 of a conspiracy, but also that "as a result of the conspiracy,  
16 the plaintiff was either injured . . . or deprived of any right  
17 of a citizen of the United States." Id. at 768. Thus, the  
18 appellants' argument that the jury's answers to the first three  
19 questions on the verdict sheet establish that the jury concluded

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<sup>7</sup> We note that it appears that the district court mistakenly said "defendant" when it meant "plaintiff" in explaining who must prove that the plaintiff was injured or deprived of a constitutional right. See Trial Tr., Apr. 30, 2004, at 768. It is abundantly clear from the district court's section 1985(3) jury charge as a whole, however, that the court correctly explained to the jury that the burden of proof was on the plaintiff. See id. at 767-68; see also id. at 755. In any event, the appellants did not contest the accuracy of the jury charge before the district court, id. at 782, nor do they do so here.

1 that there was only a conspiracy -- and no more -- is incorrect.<sup>8</sup>  
2 We therefore reject the appellants' argument that they enjoy  
3 qualified immunity as a matter of law.

## 4 II. Pendent Appellate Jurisdiction

5 The appellants contend that we should exercise pendent  
6 appellate jurisdiction to review the district court's decision  
7 that the evidence at trial was sufficient to find liability under  
8 section 1985(3).<sup>9</sup> Although Britt again does not raise the issue,  
9 before reaching the merits of the appellants' argument, we must  
10 decide whether we may indeed exercise pendent appellate  
11 jurisdiction. See Goldberg, 261 F.3d at 323.

### 12 A. In General

13 "Pendent appellate jurisdiction allows an appeals court  
14 to exercise jurisdiction over a non-final [and therefore  
15 otherwise unappealable] claim where the issue is inextricably

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<sup>8</sup> We are not aware of any authority for the proposition that we would have appellate jurisdiction to entertain an argument that the jury's findings are inconsistent based on the present record and on an interlocutory basis had it been made before us. Cf. Ortiz-Del Valle v. Nat'l Basketball Ass'n, 190 F.3d 598, 599-600 (2d Cir. 1999) (per curiam) (dismissing an appeal for lack of appellate jurisdiction in similar circumstances). But it has not been made before us. By noting our lack of jurisdiction over the issue, incidentally, we do not mean to imply that we have a view one way or the other with respect to the question.

<sup>9</sup> The appellants do not argue that we have appellate jurisdiction under the collateral order doctrine over their appeal from this portion of the district court's order. Cf. Hathaway v. Coughlin, 37 F.3d 63, 67 (2d Cir. 1994) (pre-Johnson v. Jones decision), cert. denied, 513 U.S. 1154 (1995); O'Neill v. Town of Babylon, 986 F.2d 646, 649 (2d Cir. 1993) (pre-Johnson v. Jones decision). We therefore do not reach this issue.

1 intertwined with an issue over which the court properly has  
2 appellate jurisdiction," Stolt-Nielsen SA v. Celanese AG, 430  
3 F.3d 567, 576 (2d Cir. 2005) (internal quotation marks and  
4 citation omitted; alterations incorporated), or where "review of  
5 the otherwise unappealable issue is necessary to ensure  
6 meaningful review of the appealable one," Luna v. Pico, 356 F.3d  
7 481, 487 (2d Cir. 2004) (internal quotation marks and citation  
8 omitted).

9 In the federal system, there is a general  
10 presumption against immediate appellate  
11 review of nonfinal orders, and the Supreme  
12 Court has cautioned against the adoption of a  
13 "flexible" or "loose" approach in connection  
14 with the exercise of pendent appellate  
15 jurisdiction. See Swint v. Chambers County  
16 Commission, 514 U.S. 35, 45-50, 115 S.Ct.  
17 1203, 131 L.Ed.2d 60 (1995). Accordingly, we  
18 have exercised such jurisdiction only in  
19 exceptional circumstances.

20 Munafu, 285 F.3d at 215.

21 B. Pendent Appellate Jurisdiction in This Case.

22 In arguing that the evidence presented at trial was  
23 insufficient, the appellants contend that "there was a complete  
24 absence of evidence in the record to support a claim of  
25 conspiracy." Appellants' Br. at 25. They also assert that there  
26 was no evidence presented at trial of "an agreement that was  
27 motivated by a discriminatory animus." Id. at 26. In order for  
28 us to evaluate these arguments, we would be required to review  
29 the entire trial record, asking whether there existed a "legally  
30 sufficient evidentiary basis for a reasonable jury to find" that  
31 these elements of section 1985(3) were met. Fed. R. Civ. P.

1 50(a). Such an inquiry would be different from, and  
2 significantly broader than, that needed to determine whether, as  
3 the appellants assert in their first argument, they are entitled  
4 to qualified immunity because the jury's findings with respect to  
5 the October and December 1998 incidents preclude entry of  
6 judgment against them under section 1985(3). The appellants'  
7 arguments with respect to evidentiary sufficiency are thus in no  
8 way "inextricably intertwined with an issue over which [we]  
9 properly ha[ve] appellate jurisdiction." Stolt-Nielsen, 430 F.3d  
10 at 576 (internal quotation marks and citation omitted). It is  
11 also clear, we think, that review of the district court's  
12 judgment with respect to evidentiary sufficiency is not  
13 "necessary to ensure meaningful review" of the appellants'  
14 qualified immunity argument. Luna, 356 F.3d at 487.

15 The appellants have received a jury verdict against  
16 them and are about to undergo a second trial on punitive damages.  
17 When that trial has concluded, they will be able to raise any  
18 preserved assertions of error on appeal from a final judgment.  
19 There is nothing exceptional about their circumstances in this  
20 regard. See Munafo, 285 F.3d at 215.<sup>10</sup>

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<sup>10</sup> In an analogous context, we noted that "[a] system in which parties could get immediate appellate review of multiple issues once the door was opened for review of one issue would tempt such parties to rummage for rulings that would authorize interlocutory appeals," and we expressed concern that a "party will appeal a flimsy collateral issue with the intention of obtaining interlocutory review for other issues it presses." Rein v. Socialist People's Libyan Arab Jamahiriya, 162 F.3d 748, 757 (2d Cir. 1998), cert. denied, 527 U.S. 1003 (1999).

